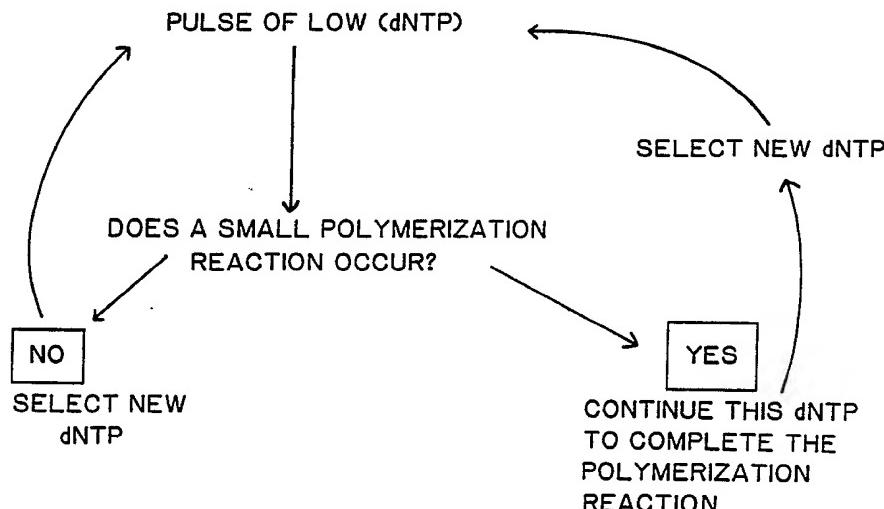




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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(54) Title: PYROPHOSPHATE-BASED METHOD AND APPARATUS FOR SEQUENCING NUCLEIC ACIDS



## (57) Abstract

A method for sequencing nucleic acid polymers is provided in which the polymer to be sequenced acts as a template for the production of a complementary polymer by a polymerase enzyme. The template polymer is introduced into a polymerization environment in which production of the complementary polymer will occur if appropriate nucleotides are provided. The nucleotides are then provided to the polymerization environment one at a time in individual feedstocks. If the nucleotide in a feedstock is complementary to the next base in the template polymer, i.e., the unpaired base closest to the growing end of the complementary polymer, polymerization will occur lengthening the complementary polymer and releasing PPi. By separately recovering each feedstock and analyzing it for the presence of PPi, the sequence of the complementary polymer and thus the template polymer is determined.

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DescriptionPyrophosphate-Based Method and  
Apparatus For Sequencing Nucleic AcidsBackground of the Invention

5        This application relates to a new method for sequencing nucleic acids without the use of electrophoresis, radioactivity, or fluorescense. The technique analyzes the production of inorganic pyrophosphate from nucleic acid polymerization  
10      reactions, and has the advantages of being simple, cost effective, and completely automatable.

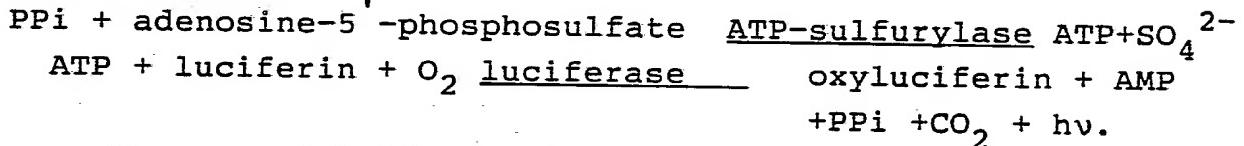
Current methods used to sequence nucleic acids all involve electrophoresis of single stranded nucleic acid fragments (ssDNA or ssRNA) generated by either  
15      chain terminating nucleotides in the Sanger dideoxy sequencing technique, selective enzymatic fragmentation of strands of RNA or selective chemical degradation of DNA by the Maxam and Gilbert technique. All of these methods involve separation of nucleic  
20      acid fragments in polyacrylamide gels and measurement of their location by radioactivity. New DNA sequencing machines recently made commercially available use fluorescense instead of radioactivity to detect the ssDNA fragments. However, these newer  
25      techniques involve expensive equipment, specialized chemicals, and still require intensive labor and careful technique to carry out the procedure successfully.

The present invention takes a completely  
30      different approach to the problem of sequencing nucleic acids based on the precise measurement of the inorganic pyrophosphate (PPi) generated during nucleic acid polymerization reactions, such as the polymerization of deoxynucleotide triphosphates (dNTP)  
35      with a ssDNA template - primer complex catalyzed by DNA polymerase shown below:

ssDNA - primer + dNTP → ssDNA - (primer + dNMP) + PPi

As shown, one PPi is generated for each dNTP consumed and incorporated into the DNA. If, for example x moles of ssDNA template - primer all have 5 their primer chains extended by one base, then x moles of PPi will be generated. If the primer chains are extended by two bases, then 2x moles of PPi will be generated, etc. Thus, by precisely measuring PPi, it is possible to determine whether or not a polymerization reaction has occurred, and if so, determine how 10 many nucleotides have been incorporated in the growing primer chain.

Because PPi is formed in a number of biosynthetic pathways, a number of different methods have been 15 developed to assay for PPi. One such assay uses two enzymes, ATP-sulfurylase and firefly luciferase, to produce a light emission proportional in intensity to the amount of PPi. Nyren et al., 151 Analytical Biochemistry 504 (1985). The reactions occurring in 20 this assay are



The second half of this reaction scheme has also 25 been used as an assay for ATP. Luciferase, however, is not entirely specific for ATP as a high energy substrate and can also react with deoxyadenosine-5'-triphosphate (dATP) and to a lesser extent may react with other nucleoside triphosphates as well. Moyer et 30 al., 131 Analytical Biochemistry 187 (1983).

The measurement of PPi has not previously been considered as a means of determining a nucleic acid sequence. As will be shown hereinbelow, however, this approach provides a simple method for rapid 35 determination of nucleic acid sequences.

Summary of the Invention

The claimed invention provides a method for sequencing nucleic acid polymers in which the polymer to be sequenced acts as a template for the production 5 of a complementary polymer by a polymerase enzyme. According to the invention, the template polymer is introduced into a polymerization environment in which production of the complementary polymer will occur if appropriate nucleotides are provided. The nucleotides 10 are then provided to the polymerization environment one at a time in individual feedstocks. If the nucleotide in a feedstock is complementary to the next base in the template polymer, i.e., the unpaired base closest to the growing end of the complementary 15 polymer, polymerization will occur lengthening the complementary polymer and releasing PPi. By separately recovering each feedstock and analyzing it for the presence of PPi, the sequence of the complementary polymer and thus the template polymer is 20 determined.

A preferred method according to the invention utilizes ATP-sulfurylase and an ATP-dependent luciferase to analyze the recovered feedstocks for inorganic pyrophosphate. In this method, each 25 feedstock advantageously comprises a nucleotide, APS, and luciferin. When this feedstock is provided to the polymerization environment, the nucleotide, if complementary to the next base in the template polymer, will be consumed and PPi will be generated. The recovered feedstock thus will contain PPi, APS and 30 luciferin, a suitable mixture for generating light by the coupled reactions of ATP-sulfurylase and luciferase, if the base was complementary.

Brief Description of the Drawing

35 Fig. 1 shows a schematic diagram of a preferred sequencing method according to the invention.

Fig. 2 shows a cell construction suitable for use in measuring luminescence from the luciferase column.

Fig. 3 shows the predicted light output observed during sequencing of a theoretical template polymer.

5 Fig. 4 shows the peak heights observed during sequencing of a synthetic poly dAdT polymer.

Fig. 5 shows luminescence output for continuous dATP pulses at various concentrations.

10 Fig. 6 shows a logical flow path for the introduction of feedstocks to a sequencer according to the invention.

#### Detailed Description of the Invention

The preferred sequencing process according to the invention is diagrammed in Figure 1. This process involves the use of a series of precisely ordered columns each containing an enzyme covalently attached to a support such as sepharose 4B. For determination of a DNA sequence, feedstock solution containing adenosine-5'-phosphosulfate (APS), glucose, glycerol, 20 luciferin, and one of the four dNTPs (dATP, dGTP, dCTP, or dTTP) is introduced into the first column, a pyrophosphatase column.

PPi is a common contaminant of some commercially sold chemicals like TrisOAc and is difficult to remove 25 from the buffers on a large scale before using in the procedure. Furthermore, small nuclease contaminants in the buffers can potentially catalyze the formation of PPi via the reaction dNTP → dNMP + PPi. Because of this, the first column utilized in the 30 sequence diagrammed in Fig. 1 is a pyrophosphatase (PPase) column included to remove this contaminating PPi.

In addition to catalyzing the reaction



35 the enzyme system used in the pyrophosphatase column should preferably satisfy the following criteria:

1. no significant APSase activity or activity that significantly alters the concentration of APS;
  2. no significant dNTPase activity.
- 5 These criteria are met by the enzyme pyrophosphatase as supplied by Sigma Chemical Co., St. Louis, Mo. ("Sigma").

In general, all coupling reactions are carried out in sterile, rubber-stoppered test tubes. Buffer 10 changes are performed by centrifuging briefly and then pipetting off the supernatant. All gels are prewashed in 1 mM HCl before coupling and the enzymes are added to the gel using a 0.2 µm sterile filter.

To prepare the pyrophosphatase column, 10 units 15 of pyrophosphatase is dissolved in 3 ml coupling buffer (0.1 M NaH<sub>2</sub>CO<sub>3</sub>, 0.5 M NaCl, pH 8.3) and is added to 0.188g tresyl-activated sepharose 4B. After ethanolamine block, the gel is washed in 10 ml TMN buffer (100 mM TrisOAc, 10 mM Mg(OAc)<sub>2</sub>, 0.05% NaN<sub>3</sub>, pH 20 7.75), suspended in 4 ml TMN buffer containing 2.5 mg/ml NaN<sub>3</sub>, and stored at 4°C.

The moderate quantity of PPase thus attached to the tresyl-activated sepharose 4B is sufficient for purposes of the present invention. The PPase enzyme 25 is very potent and only small quantities are needed to remove essentially all of the PPi from a sample. Also, employing large quantities of this enzyme on the column may increase the amount of enzyme leakage from the column - leakage which could interfere with the 30 subsequent quantification of PPi.

The PPase column acts as a guard column to insure that the background PPi concentration is reduced to a level of less than about 10<sup>-12</sup>M which cannot be measured by the sulfurylase/luciferase method employed 35 to detect PPi. In addition, reduction of PPi is significant to reducing degradation of the growing complementary polymer during wash cycles. It will be understood, however, that the use of this column is

optional. Without it, one may elect to pretreat buffers before the procedure to remove any contaminating PPi.

Use of a PPase column limits the choice of 5 buffers used in the feedstock. The buffer that one chooses must not have a large  $\text{PO}_4^=$  component since PPase catalyzes the reverse reaction as well ( $2\text{PO}_4^= \rightarrow \text{PPi}$ ). A concentration of 1.0 mM  $\text{PO}_4^=$  is enough to cause a sufficient build up of PPi in thermodynamic 10 equilibrium with the  $\text{PO}_4^=$  to cause a measurable background level of luminescence.

Effluent from the pyrophosphatase column passes into the second column in Fig. 1, the polymerization column. In this column, the template nucleic acid 15 polymer-primer complex and the nucleic acid polymerase are retained. Suitable column materials are positively charged gels such as DEAE-sepharose 6B.

The positively charged gel forms a tight non-covalent bond with the nucleic acid (template + 20 primer) which is a large polyanionic polymer. The polymerase in turn interacts strongly with the binding sight on the nucleic acid located at the 3'-OH primer terminus as the result of its natural affinity. Thus both the nucleic acid to be sequenced and the 25 polymerase enzyme are retained by the column. Neither PPi nor dNTP is significantly retarded by the positively charged gel as used herein.

In order for the method to be successful, the polymerase employed should fulfill the following 30 criteria for purity and enzymatic activity:

1. no significant activity catalyzing  $\text{dNTP} \rightarrow \text{dNMP} + \text{PPi}$ ;
2. no significant dNTPase activity;
3. The polymerase preferably should not have significant  $3' \rightarrow 5'$  exonuclease activity.  
35 This would correspond to the editing function of polymerase. If the polymerase did hydrolyze the primer strand, the process

would rapidly get out of synchronization or perhaps never get started if the primer was completely cleaved. An optimal enzyme for the process though would be an enzyme which has the editing function only if the last nucleotide incorporated is mispaired with the template, and completely devoid of 3' → 5' exonuclease activity if the base pairing is correct. Such an enzyme is not, however, presently known. The use of deoxynucleoside [1-thio] triphosphates, which are normally polymerized in the DNA but are resistant to 3' → 5' degradation, instead of the use of normal dNTP's, may extend the field of enzymes that can be used successfully.

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The polymerase should be as accurate as possible. In other words, in the presence of one of the dNTPs, the enzyme must be able to extend the primer chain rapidly only if the base pairing is correct. Further, the polymerase should make a minimal number of misincorporation errors in catalyzing chain extension. The accumulation of errors would also cause the process to lose its synchronization. No enzyme is perfect, but experimental conditions such as optimization of dNTP concentration and pulse time should be employed to minimize such errors.

5.

The polymerase must have high processivity. Processivity is defined as the ability of a single enzyme molecule to polymerize nucleotides on a nucleic acid chain without dissociating. This will allow the polymerase to catalyze the incorporation of many nucleotides into the growing primer chain before dissociation of the polymerase from the polymer. This is a necessary property in order to obtain long sequences.

In addition, the polymerase should have no significant PPase activity or other activity which consumes PPi, unless the product of this consumption of PPi is ultimately measured to quantify the amount 5 of PPi produced. Finally, for the sequence of columns depicted in Figure 1, the polymerase should have no significant APSase activity or activity which consumes APS.

Promising enzymes that appear to meet these 10 criteria are the 180,000 dalton component of calf thymus DNA polymerase type alpha, T7 DNA polymerase which lacks its 3'→5' exonuclease activity, and avian myeloblastosis virus (AMV) reverse transcriptase which is reported to have no 3'→5' exonuclease activity.

15 A polymerization column for use in the invention can be prepared by introducing a single-stranded template-primer-polymerase complex onto a cationic support material. For small primers which can readily be destroyed by the reverse polymerization reaction, 20 provisions should be taken to prevent this, such as removal of all PPi in the sample before mixing the polymerase with its template-primer substrate and/or inclusion of up to three dNTPs in small concentration, or the development of a synthetic oligonucleotide 25 primer whose 3' terminal base is not susceptible to cleavage in the presence of PPi by replacing the phosphodiester bond with an analogue resistant to nucleophilic attack by PPi.

Template-primer complexes can be prepared by any 30 of the known methods. For example, the template can be primed by adding a nucleic acid fragment that is complementary to a small portion at one end of the polymer to be sequenced, as when the template is cloned in M13 and primed with the M13 universal 35 primer. This method will generally lead to a template whose sequence is partially known since part of the M13 sequence will generally be present between the end of the primer and the start of the unknown sequence.

The next two columns in the method shown in Fig. 1 are kinase columns containing, for example, glycerokinase and hexokinase, respectively. If supplied with appropriate substrates, e.g. glycerol 5 and glucose, these enzymes will selectively degrade contaminating ATP in the mixture into ADP and glycerol phosphate or glucose-6-phosphate. ATP is a common contaminant in commercial preparations of APS (about 0.01%). These enzymes will also cleave dATP to dADP. 10 This is significant since dATP as well as ATP can be utilized by luciferase to generate light.

While glycerokinase and hexokinase are the preferred enzymes for use in the kinase columns, other enzymes can be substituted that meet the following 15 criteria:

1. substantially free of PPase activity, ATP sulfurylase activity, or activity which consumes PPi;
2. substantially free of APSase activity or activity that significantly alters the concentration of APS;
3. must be able to cleave dATP or ATP to dADP and ADP respectively, or to a product which is more inert to luciferase, without generating PPi.

Hexokinase and glycerokinase display the following properties which make them useful. Hexokinase has excellent activity for ATP and its activity for dATP is about half that of ATP. 30 Glycerokinase has good activity for both dATP and ATP. Thus, the enzymes are somewhat complimentary in activity. Neither enzyme has significant kinase activity for the other enzyme substrate. That is, hexokinase cannot use glycerol significantly and 35 glycerokinase cannot use glucose significantly. Thus, the reverse reaction (dADP + glycerol phosphate → dATP + glycerol) does not occur in the hexokinase column to an extent that is measurable or significant. The

- logic of using the enzymes sequentially as opposed to simply using one column containing a mixture of both hexokinase and glycerokinase is that it gives a thermodynamic advantage. Any dATP or ATP which
- 5 escapes past the first column is completely removed by the second column. This can also serve as an assurance that ATP and some dATP are removed in the event that one of the columns loses its activity during use.
- 10 The activity of the kinases for dTTP, dGTP and dCTP is unimportant if ATP-sulfurylase and luciferase are used as the detection system, as these materials have essentially no utility as substrates for luciferase. If their removal should be significant,
- 15 however, a method employing nucleoside diphosphate kinase is described below.

While the diagram in Fig. 1 shows the use of two kinase columns, it should be understood that a single functional glycerokinase column works about equally as well. The use of only a hexokinase column does not work as well since some of the dATP will escape cleavage.

In the practice of the invention, hexokinase and glycerokinase are preferably immobilized on a solid support. This can be accomplished as follows:

25 Hexokinase (Sigma H5875), 2000 units, is centrifuged briefly at 13,000 g to remove the  $(\text{NH}_4)_2\text{SO}_4$  supernatant. The pellet is dissolved in 3 ml of coupling buffer, and the hexokinase solution is added

30 to 0.375 g tresyl-activated sepharose 4B. The tube is rotated at room temperature for 2 hours. About 10 ml of 1.0 M ethanolamine, pH 8.0, is added to the tube and rotation is continued for 2 hours. The gel is then successively washed in 10 ml coupling buffer, 10

35 ml of 0.1 M NaOAc, 0.5 M NaCl, pH 4.0, 10 ml coupling buffer and 10 ml TMN buffer, and then is suspended in 4 ml TMN buffer containing 2.5 mg/ml  $\text{NaN}_3$  and stored at 4°C. Soluble hexokinase is unstable in coupling

buffer, but the short duration of the coupling reaction minimizes the loss of activity.

Glycerokinase from Bacillus stereothermophilis (Boerhinger-Mannheim 691-836), 200 µl of the solution, 5 at 500 units/ml is mixed with 2.8 ml of coupling buffer and is added to 0.375g tresyl-activated sepharose 4B. The Tris contained in the glycerokinase storage buffer does not seem to interfere greatly in the coupling reaction with the protein. The rest of 10 the procedure is identical with the hexokinase procedure.

In the immobilized state, both kinase enzymes show extremely fast kinetics and a great deal of enzymatic activity can be achieved per µl of gel.

15 Both enzymes are inexpensive and can be obtained in large quantities with desired purity. Both enzymes have substrates, glucose and glycerol, which are inexpensive. Both enzymes are stable at room temperature attached to sepharose; neither appear to 20 lose much activity after prolonged use at room temperature or when stored at 4°C. The glycerokinase is able to function well even at 55°C, in soluble form, whereas the hexokinase readily loses activity in soluble form at 60°C. The high catalytic 25 capability/µl gel allows the use of both a short column length and a fast flow rate to minimize the time required to sequence the DNA.

An alternative kinase system employs nucleoside diphosphate kinase (NDK) added to glycerokinase and 30 hexokinase when coupling and ADP added to the buffer solution such that the new columns are (glycerokinase + NDK)-sepharose and (hexokinase + NDK)-sepharose. NDK retains its enzymatic activity when covalently bound to sepharose. This modification of the kinase 35 columns allows for the degradation of all four dNTP's

to their respective dNDP's as shown below for  
(hexokinase + NDK):

	dNTP+ADP	dNDP+ATP	dNDP+ADP
	NDK	HEXOKINASE	
5		+ GLUCOSE	

The use of only one kinase-NDK-sepharose column is also effective. The major problem with this approach is that commercially available preparations of ADP contain substantial quantities of AtetraP  
10 contamination. Because AtetraP is a good substrate for luciferase and cannot be removed enzymatically by either hexokinase or glycerokinase, the ADP preparation probably must be chromatographically purified before use to avoid a large background  
15 luminescence.

The use of a kinase column which removes dATP and ATP in the DNA sequencing method is considered optional. In its absence, one need only subtract the additional contribution to background luminescence due  
20 to dATP and contaminating ATP in order to compute the quantity of PPi.

The next column depicted in Fig. 1 is an ATP-sulfurylase column. The objective of this column is to convert all the PPi into ATP. Criteria for  
25 preferred enzyme purity are listed below:

1. significantly free of PPase activity or activity which consumes PPi other than ATP-sulfurylase;
2. significantly free of nucleoside diphosphate kinase (NDK) or nucleoside monophosphate kinase (NMK) activity;
3. significantly free of hexokinase or glycerokinase activity if glucose or glycerol is included in the buffer respectively;
4. significantly free of any ATPases other than ATP sulfurylase;

5. significantly free of APSase or APS altering activity other than ATP-sulfurylase.

The reasoning behind most of the criteria listed above is self evident in the context of the present invention. As to the absence of NMK activity, if NMK contamination existed, then it would catalyze  $2 \text{ dNDP} \rightarrow \text{dNMP} + \text{dNTP}$ . The dNTP could cause undesirable background luminescence if it is dATP. Another reaction,  $\text{ATP} + \text{dNMP} \rightarrow \text{ADP} + \text{dNDP}$ , could consume the ATP formed from the PPi. Similarly, if NDK contamination existed, then it would catalyze  $\text{ATP} + \text{dADP} \rightarrow \text{ADP} + \text{dATP}$ ; thus, the ATP formed in the ATP-sulfurylase column from PPi would be lost to form dATP which is a poor substrate for luciferase.

15 A commercially available enzyme preparation that meets these criteria is yeast ATP-sulfurylase (Sigma). This enzyme is stable at room temperature in soluble form or when attached to a gel. The enzyme has a very high catalytic activity and can be attached to  
20 tresyl-activated sepharose 4B to yield a high catalytic activity per  $\mu\text{l}$  gel.

For example, 50 units of ATP-sulfurylase (Sigma) is dissolved in 1 ml coupling buffer; 950  $\mu\text{l}$  of this solution is heated at 51°C for 5 minutes, cooled in  
25 ice-water 1 minute, diluted with 2 ml coupling buffer and added to 0.188g of tresyl-activated sepharose 4B. The heating procedure removes most of the hexokinase contamination. The rest of the procedure is identical with the hexokinase procedure.

30 Because of the high catalytic activity of immobilized ATP-sulfurylase, only a short column length is needed for the flow rates utilized. Also, the high density of enzymatic activity/ $\mu\text{l}$  gel allows one to use a smaller excess concentration of APS in  
35 the buffers which will still yield complete conversion of PPi to ATP. This reduces the cost of the APS and reduces the background luminescence due to APS.

APS is kinetically a stable molecule. At room temperature only a small loss of APS is measurable over the course of a day. Thermodynamically, however, APS is quite unstable, which contributes to the near 5 irreversibility of its conversion into ATP in the presence of PPi and ATP sulfurylase, with an equilibrium constant in the range of  $10^8$ . This enzyme is moderately stable at 51°C for 5 minutes in coupling buffer (loses about 1% - 5% activity) and this heating 10 procedure is used to remove the activity of small amounts of contaminating hexokinase.

I have observed a weak side reaction which occurs in the presence of this ATP-sulfurylase preparation, possibly dNTP $\rightarrow$ dNMP or dNPS + PPi. This side reaction 15 generates small quantities of PPi which is then readily converted to ATP in the presence of APS. It is unknown whether this activity is due to a contaminating enzyme or due to the ATP-sulfurylase itself. The order of reactivity is dTTP $>$ dGTP $>$ dCTP. 20 The use of low concentrations of dNTP ( $<10^{-5}$  M) is effective in eliminating most of this additional source of background luminescence. An alternative solution is to use the NDK-kinase columns described earlier so that all four dNTP's are converted to their 25 dNDP form which is unable to participate in the reaction above.

The final column depicted in Fig. 1 is a luciferase column which contains an ATP-dependent luciferase to catalyze the reaction:

30  $ATP + \text{luciferin} + O_2 \rightarrow \text{oxyluciferin} + AMP + PPi + CO_2 + h\nu$

The enzyme preparation used in the luciferase column should preferably satisfy the following criteria:

1. significantly free of NMK or NDK activity;
- 35 2. significantly free of hexokinase and glycerokinase activity if glucose or glycerol is included in the buffer, respectively;

3. significantly free of ATPases other than luciferase and ATP-sulfurylase.

Enzyme preparations are readily and inexpensively available which satisfy the above criteria. In 5 addition, it is advantageous that the solid support material chosen for attachment is significantly able to transmit light. One type of material that satisfies this is sepharose 4B.

Luciferase can be coupled to tresyl-activated 10 sepharose 4B using two different methods.

METHOD (A) - Tresyl-activated sepharose 4B is washed four times with 10 ml 1 mM HCL. Luciferase dissolved in coupling buffer is added to the sepharose through a 0.2  $\mu$ m sterile filter. The mixture is 15 rotated at room temperature for 2 hours at 30 rpm. About 10 ml of 1.0 M ethanolamine, pH 8.0, is then added and the mixture is rotated for an additional 2 hours. The sepharose is then successively washed in 10 ml coupling buffer, 10 ml of 0.1 M NaOAc, 0.5 M 20 NaCl, pH 4.0, and 10 ml TMN buffer, and suspended in 4 ml TMN buffer + 2.5 mg/ml NaN<sub>3</sub> for storage at 4°C. This method was modified in Method B to allow for more protein coupling to occur.

METHOD (B) - Tresyl-activated sepharose 4B is 25 washed 3 times with 10 ml 1mM HCL. Luciferase is dissolved in 50 mM NaH<sub>2</sub>PO<sub>4</sub>, 10 mM NaCl, pH 7.75 containing 25% glycerol (luciferase buffer) and is added to the washed gel using a 0.2  $\mu$ m sterile filter. The mixture is rotated at about 2 rpm at room 30 temperature for 9 hours. Then NaN<sub>3</sub> is added to about 2.5 mg/ml for storage at 4°C.

An additional enzyme column not shown in Fig. 1 may advantageously be incorporated between the sulfurylase column and the luciferase column to remove 35 unreacted APS, since APS can act as a substrate for luciferase.

The attached enzyme in this column should fulfill several criteria:

1. significantly free of NDK or NMK activity;
2. significantly free of hexokinase or glycerokinase activity if glucose or glycerol is included in the buffer respectively;
3. significantly free of ATPases;
4. must be able to convert APS into a product which does not interact significantly with luciferase to form light.

10       The purpose of this column is to eliminate the background luminescence resulting from the weak reaction of APS with luciferin. This is achieved by converting or cleaving the APS to a product which does not react with luciferin/luciferase. Note that

15       according to the criteria listed the enzyme must selectively cleave APS without acting on ATP. There are several types of enzymes reported in the literature which may fulfill these criteria. The first is an APS-sulfatase which catalyzes the reaction

20        $\text{APS} \rightarrow \text{AMP} + \text{SO}_4^=$ . Many organisms contain an enzyme with this activity. One such enzyme has been isolated from Anabaena cylindrica, Sawhney et al., 6 Plant Sci. Lett. 103 (1976). Another type of enzyme which may accomplish the job is ADP-sulfurylase which catalyzes

25       the reaction  $\text{APS} + \text{PO}_4^= \rightarrow \text{ADP} + \text{SO}_4^=$ . There are two different enzymes which have been isolated from yeast with this activity. The equilibrium for both of these reactions is far to the right and both products, AMP and ADP, have virtually no ability to excite

30       luciferin/luciferase. Other enzymes that may be suitable catalyze the reactions  $\text{APS} \rightarrow \text{cAMP} + \text{SO}_4^=$  or  $\text{APS} + \text{NH}_3 \rightarrow \text{adenosine-5'-phosphoramidate} + \text{SO}_4^=$ . Enzymes with these activities have been isolated from Chlamydomonas reinhardtii and Chlorella pyrenoidosa,

35       respectively. The activities of some of these enzymes for ATP have not been fully investigated.

The use of this enzyme column is considered optional, that is, a sequence can be determined

without using it, but it is necessary to subtract the background luminescence due to APS. By eliminating the background luminescence due to APS, the addition of this enzyme to the process will allow more precise  
5 quantitation of very low concentrations of PPi (down to about  $10^{-12}$  M). If APSase is not used it may be possible to combine ATP-sulfurylase and luciferase in one column.

Other modifications to the columns used in the  
10 sequencer might also be made without departing from the spirit and scope of the invention. For example, one problem that has been noted is degradation of the growing complementary polymer during the wash cycle as a result of PPi contamination. This problem might be  
15 reduced by introducing further columns before the polymerization column to more thoroughly remove the PPi. Thus, a column containing ATP-sulfurylase or some other PPi-consuming enzyme with a large equilibrium constant might be incorporated in place of  
20 or in addition to the PPase column.

Another approach to reducing the effect of PPi contamination would involve placing a PPi utilizing enzyme within the polymerization column itself. Of course, such an enzyme could not be a pyrophosphatase,  
25 but rather would have to produce a measurable product. For example, ATP-sulfurylase might be incorporated in the polymerization column, so long as any kinase columns downstream of the polymerization column were omitted.

30 Once the various enzymes are coupled to appropriate supports, columns for use in the method are prepared. For each immobilized enzyme, a small capillary tube (1.1 mm ID, 1.5 mm OD) is plugged at one end using glass wool. Using sterile syringes,  
35 concentrated NaOH is washed through the column to sterilize it. This is followed by a wash of sterile water, then sterile buffer. The enzyme-sepharose 4B gel is then loaded into the column to the specified

- height. The glass tube is then cut several millimeters above the top of the sepharose. The capillary columns are then connected in the order shown in Fig. 1 using silicon tubing (0.04"ID, 5 0.085"OD) or some similar connection means. All tubing used is precleaned in the same manner as the capillary tubes before use. Between use, the columns are stored in TMN buffer plus 25% glycerol and 2.5 mg/ml NaN<sub>3</sub> at 4°C.
- 10 For use, the luciferase column is placed within a detector means for quantifying the amount of light produced. For example, the luciferase column can be placed within a cell such as that shown in Fig. 2 and then in the sample compartment of an LB9500C 15 luminometer (Berthold).

After the columns have been assembled into an apparatus for sequencing nucleic acids, and the template-primer-polymerase complex has been placed in the polymerization column, a series of feedstocks is 20 introduced into the apparatus, each feedstock containing one nucleotide, APS, luciferin and a substrate for the kinase column(s), if present.

To illustrate the logic of the method, let us assume that we wish to sequence the template-primer 25 complex shown below:

5' primer - A-T-G-A

3' template - T-A-C-T-C-T-T-A-G-C-C-G-A-A-A 5'

Assume also that we select the following order of dNTP's:

30 G→wash→C→wash→A→wash→T→wash

We would thus obtain the result shown in Figure 3 which plots PPi quantitated vs. nucleotide. This plot of PPi vs. dNTP readily tells us that the polymerized sequence is GAATCGGCTTT and thus allows determination 35 of the complimentary template sequence.

The introduction of dNTP feedstocks can follow a regular sequence, e.g. the GCAT sequence depicted above, or it can be controlled in some other manner.

For example, it might be desirable to control the feedstock input based upon the light being produced. In this case, a dNTP feedstock flow would be introduced for a specified minimum time to determine if reaction was occurring. If polymerization occurred, the flow of feedstock would then be maintained or perhaps increased, or the concentration of dNTP increased, until light output, as an indicator of polymerization, essentially stopped. The next feedstock would then be introduced. The logic of such a control scheme is shown in Fig. 6.

The selection of the new dNTP which occurs either following completion of polymerization or in the absence of polymerization can follow any of several logics. For example, the feedstocks could be introduced in a fixed order regardless of whether reaction has occurred or not. Alternatively, the cycle could be reset following every second nucleotide incorporation in view of other known data such as the percentages of various bases. For example, if a given sequence is known to be GC rich, it would be reasonable to test each successive base in the template for G and C base pairing before testing for A and T. Finally, when dealing with a portion of the template for which the sequence is already known, e.g., a part of a cloning vector, the feedstocks can be introduced in this sequence, or even as mixtures of up to three bases at a time.

Example

As a simple illustration of the DNA sequencing protocol, the synthetic alternating copolymer poly(dAdT) was sequenced using AMV reverse transcriptase. DNA-DNA polymerase-DEAE sepharose 6B was prepared by dissolving 0.26 units of poly(dAdT) in 10 µl of 10 mM Tris-HCl, 5 mM NaCl, pH 7.5 and adding this to 20 µl of sequencing buffer (25 mM TrisOAc, 10 mM Mg(OAc)<sub>2</sub>, 1 mM dithioerythritol, 0.05% NaN<sub>3</sub>, 10 mM glucose, 10 mM glycerol, 5x10<sup>-7</sup> M APS pH 7.75). No

primer was necessary in this case, because poly(dAdT) is self-priming. 50 units of AMV reverse transcriptase were then added and the mixture was incubated at room temperature for about 1 minute. The 5 incubated mixture was then diluted with 500 µl of sequencing buffer, and injected through a 10 mm DEAE sepharose 6B column, pre-equilibrated in sequencing buffer. The polymerase column was then placed in a sequencer formed from a PPase column, 15 mm gel 10 height; a glycerokinase column, 40 mm; a hexokinase column, 20 mm; an ATP sulfurylase column, 15 mm; and a luciferase column, 10 mm. The luciferase column was prepared from 0.190 g gel + 0.4 mg firefly luciferase (Sigma L9009) in 3 ml buffer (50 mM NaH<sub>2</sub>PO<sub>4</sub>, 10 mM 15 NaCl, pH 7.75, 25% glycerol) using method B.

The luciferase column was placed within a flow-cell of the type depicted in Figure 2. In this cell, the ends of the luciferase column 1 were connected via silicon tubing 2 and 2' to two 18 gauge stainless 20 steel needles 3 and 3'. The needles 3 and 3' passed through a partially hollowed black rubber stopper 4 and then connected to tubing 5 through which a flow material from the preceding columns is delivered to the luciferase column and tubing 5' which carries the 25 effluent from the luciferase column to a waste reservoir. The luciferase column 1 was placed within a cuvette 6 for the LB9500C luminometer which was sealed in the counting chamber by the rubber stopper 4. The needles 3 and 3' were bent to block entry of 30 external light through the needle bores into the cuvette 6.

After equilibrating the sequencer with sequencing buffer, dNTP feedstocks ( $10^{-7}$  M in sequencing buffer) were used in 1 minute pulses immediately followed by a 35 12 minute wash with sequencing buffer; flow rate = 75 µl/min.

The observed luminescence is shown in Fig. 4. After the initial three pulses of dNTP feedstock,

large peaks were observed so long as dATP and dTTP feedstocks were provided alternately. The sequence determined is obviously poly(dAdT) from the figure. Several other features of the data in Fig. 4 are also 5 worthy of comment.

The first pulse of dATP gave only about one half the PPi yield. This is probably due to the random cleavage of the primer strand by reverse polymerization which occurred during sample 10 preparation so that the next nucleotide required for half the templates is dATP and the other half is dTTP. The first pulse of dATP returns the system to synchronization since the next nucleotide required by all templates is now dTTP. 15 A pulse of dATP immediately following a pulse of dATP gave only small areas of reaction. This is expected since the reaction with the first pulse goes to almost full completion and the next nucleotide required is dTTP. The small response in pulse 16 of 20 dATP appears to have three causes: (1) misincorporation of dATP in place of dTTP (minor); (2) degradation of the primer strand during the wash cycle due to PPi contamination in the column (major); and (3) incomplete polymerization during the preceding 25 pulse 15 (major). A similar result is observed with consecutive pulses of dTTP.

dGTP and dCTP give practically no polymerization, as expected, since neither can base pair properly with the growing DNA chain. This shows that at the dNTP 30 concentration of  $10^{-7}$  M, AMV reverse transcriptase makes few "mistakes."

The length of the sequence determined was about 42 base pairs. The yield of PPi measured due to polymerization, about  $9.4 \times 10^{-14}$  moles, decreases 35 only slightly during the sequence. This is attributed to the high processivity of the enzyme AMV reverse transcriptase. AMV reverse transcriptase incorporates an average of several hundred nucleotides before

dissociating. When an enzyme with low processivity like the Klenow fragment was used, the yield of PP<sub>i</sub> obtained after each chain extension decreased much faster until all of the polymerase was washed off of 5 the gel. The sequence determined above could have been longer, but the experiment required about 12 hours to complete, and was discontinued due to time constraints. The use of other solid supports such as silica gel or alumina may allow faster flow rates and 10 thus, faster sequencing.

The foregoing example demonstrates that the method of the invention is operative. It does not, however, address the potential problem of losing synchronization as a result of the accumulation of 15 errors and incomplete polymerization reactions, because this problem is not of particular significance for poly(dAdT). If a particular base pair does not form when it should, it will simply form with the next pulse of the appropriate nucleotide. In the case of a 20 sequence determination for a real nucleic acid polymer, however, loss of synchronization could present a problem. Accordingly, care should be taken to allow exposure to each feedstock under conditions such that substantially complete chain lengthening has 25 occurred and few errors are made.

Lack of synchronization might also occur as a result of the presence of primer strands of different lengths for reasons other than incomplete polymerization. Chain terminating nucleotides like 30 dideoxynucleotides (ddNTP) may also be useful in eliminating background noise caused by this problem. For example, if it is determined using a pulse at very low dATP concentration that the next nucleotide in the sequence will be A, one can then give a pulse of a 35 mixture of ddGTP, ddCTP, and ddTTP to terminate primer chains that are the wrong length prior to adding sufficient dATP to complete the polymerization.

As a general rule, complete reaction can be insured by lengthening the exposure time or by increasing the concentration of dNTP in the feedstock. However, both can lead to increased polymerization errors and optimal dNTP concentration and pulse times may differ for different polymerases. The frequency of polymerization errors for AMV reverse transcriptase as a function of the concentration of dATP is examined in detail in Figure 5. The peaks were obtained by a continuous flow of dATP at different concentrations of dATP. All peaks were obtained after a 1 minute pulse with  $10^{-7}$  M dTTP followed by a 1 ml wash. This insures synchronization of the sequence of the sequence so that dATP is the next nucleotide required in the sequence.

At  $10^{-9}$  M dATP the polymerization reaction occurs so slowly that one does not obtain an initial peak, but a broad slowly declining plateau instead. The ability of dATP to be polymerized at such low concentrations emphasizes the need to fully wash a dNTP out of the polymerization column before introducing the next feedstock. At  $10^{-8}$  M the peak observed is broad due to slow polymerization, with a slow decline of rate back to baseline level. The error rate observed here is approximately zero. At  $10^{-7}$  M the peak obtained is much sharper, somewhat similar to the peak obtained using a 1 minute pulse of  $10^{-7}$  M dATP. The decline from the peak, however, never returns to baseline level. I postulate that this is due to a low frequency event in which dATP is mistakenly polymerized in the DNA in place of dTTP. Similarly, at  $10^{-6}$  M and  $10^{-5}$  M the light output does not return to baseline. Moreover, the gap between the residual light intensity and the baseline is much larger here indicating a higher rate of error making. This corresponds to what is intuitively obvious, i.e., the larger the dATP concentration, the faster the polymerase will make errors. The estimated frequency

of error production for  $10^{-5}$  M and  $10^{-6}$  M dATP are 7.7%/min. and 3.8%/min., respectively. These values have been corrected to take into account the fact that after an error is produced, it will be followed by a 5 fast polymerization of another molecule of dATP which can base pair correctly. The relationship of error rate vs. dNTP concentration holds true for the other dNTPs as well, e.g., increasing concentrations of dGTP result in increasing incorporation of dGTP into the 10 poly(dAdT).

Based upon these observations, it appears that feedstocks for use in the invention will advantageously contain nucleotide at a concentration of about  $10^{-7}$  M when using AMV reverse transcriptase. 15 In addition, the feedstocks will contain APS, and luciferin and a substrate for the kinase column(s). The concentration of APS should also be maintained at a low level, because of the fact that APS can serve as a weak alternate substrate of luciferase to produce 20 light. One can compute an equivalent concentration of ATP that would give the same rate of luminescence produced by the APS by the formula below:

$$[\text{ATP}] \text{ equivalent} = 2.4 \times 10^{-4} [\text{APS}]$$

The background luminescence of APS can be minimized by 25 utilizing as small a concentration of APS as possible while still allowing for complete conversion of the PPi to ATP. At flow rates used experimentally it was observed that  $5 \times 10^{-7}$  M APS is adequate for measuring a concentration of  $2 \times 10^{-8}$  M PPi. The small excess of 30 APS can be used due to the high density of enzymatic activity of ATP sulfurylase/ $\mu\text{l}$  of gel and the fast kinetics of the reaction.

Claims

1. A method for determining the nucleic acid sequence in a template nucleic acid polymer, comprising

- 5           (a) introducing the template nucleic acid polymer into a polymerization environment in which the nucleic acid polymer will act as a template polymer for the synthesis of a complementary nucleic acid polymer when  
10           nucleotides are added;
- 15           (b) successively providing to the polymerization environment a series of feedstocks, each feedstock comprising a nucleotide selected from among the nucleotides from which the complementary nucleic acid polymer will be formed, such that if the nucleotide in the feedstock is complementary to the next nucleotide in the template polymer to be sequenced said nucleotide will be  
20           incorporated into the complementary polymer and inorganic pyrophosphate will be released;
- 25           (c) separately recovering each of the feedstocks from the polymerization environment; and  
         (d) measuring the amount of inorganic pyro-  
              phosphate in each of the recovered feedstocks to determine the identity of each nucleotide in the complementary polymer and thus the sequence of the template polymer.

- 30     2. A method according to claim 1, wherein the amount of inorganic pyrophosphate is measured by adding adenosine-5'-phosphosulfate to the feedstock;  
              combining the recovered feedstock containing the adenosine-5'-phosphosulfate with the enzyme ATP-sulfurylase such that any inorganic  
35

pyrophosphate in the recovered feedstock and the adenosine-5'-phosphosulfate will react to the form ATP and sulfate;

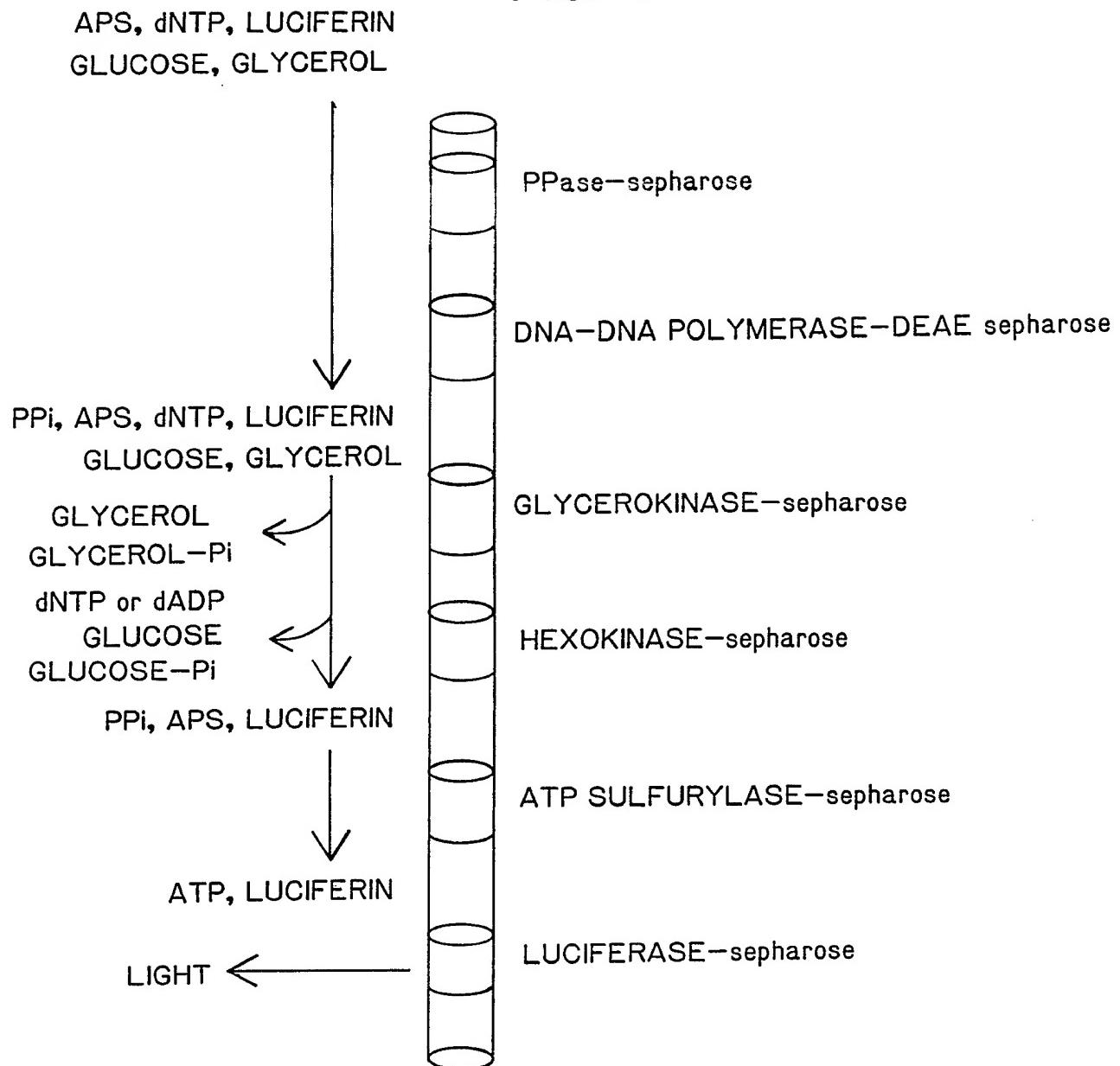
5 combining the ATP and sulfate-containing feedstock with luciferin and an ATP-dependent luciferase in the presence of oxygen such that the ATP is consumed to produce AMP, inorganic pyrophosphate, carbon dioxide and light; and measuring the amount of light produced.

- 10 3. A method according to claim 1, wherein the template polymer is immobilized on a solid support.
- 15 4. A method according to claim 3, wherein the immobilized template polymer is complexed with a primer sequence.
- 20 5. A method according to claim 4, wherein the template-primer complex is bound to a nucleic acid polymerase.
- 25 6. A method according to claim 5, wherein the amount of inorganic pyrophosphate is measured by adding adenosine-5'-phosphosulfate to the feedstock;  
combining the recovered feedstock containing the adenosine-5'-phosphosulfate with the enzyme ATP sulfurylase such that any inorganic pyrophosphate in the recovered feedstock and the adenosine-5'-phosphosulfate will react to form ATP and sulfate;
- 30 combining the ATP and sulfate containing feedstock with luciferin and an ATP-dependent luciferase in the presence of oxygen such that the ATP is consumed to produce AMP, inorganic pyrophosphate, carbon dioxide and light; and measuring the amount of light produced.

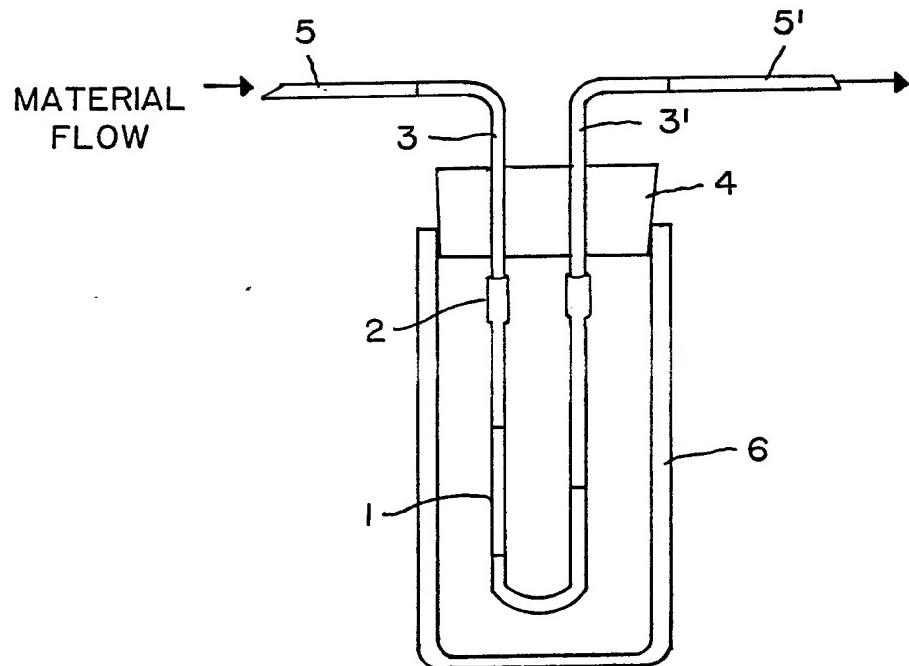
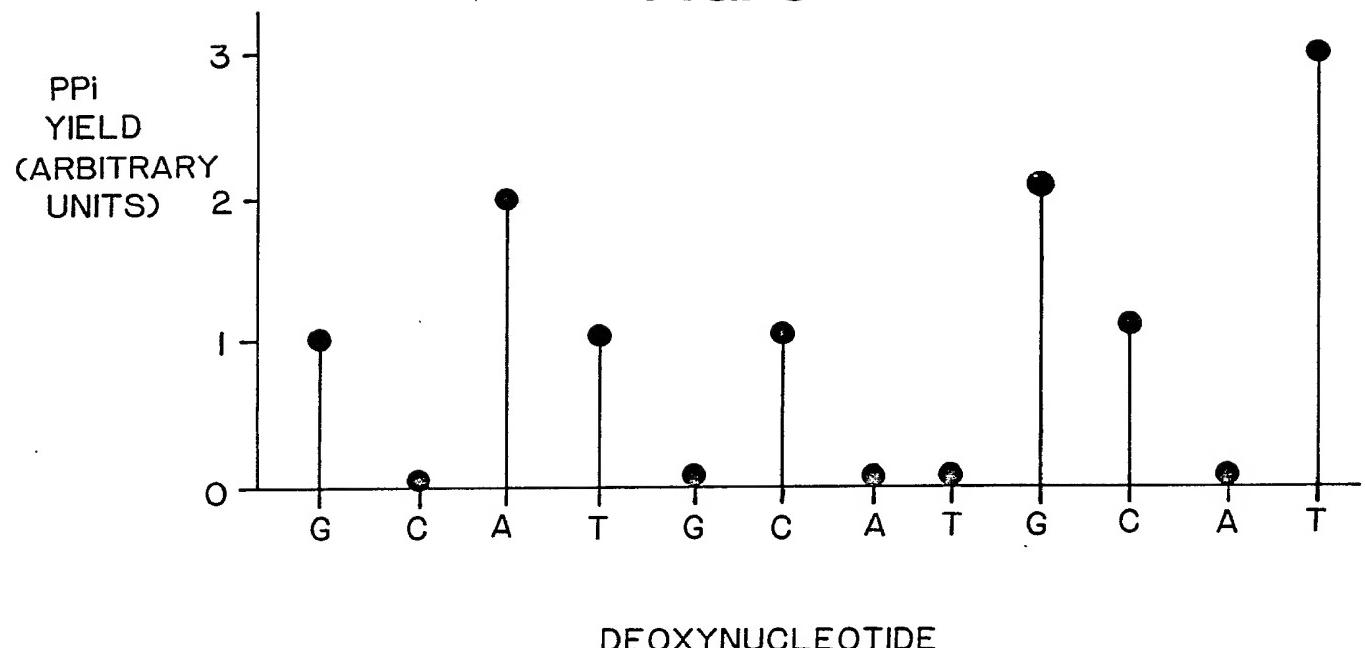
7. A method according to claim 1, wherein each feedstock comprises adenosine-5'-phosphosulfate and luciferin in addition to the selected nucleotide base, and the amount of inorganic pyrophosphate is determined by reacting the inorganic pyrophosphate-containing feedstock with ATP sulfurylase and then with an ATP-dependent luciferase thereby producing light in an amount proportional to the amount of inorganic pyrophosphate, and measuring the amount of light produced.
8. A method according to claim 7, further comprising the step of treating the feedstock to remove inorganic pyrophosphate prior to providing the feedstock to the polymerization environment.
9. A method according to claim 8, wherein the feedstock is treated with immobilized pyrophosphatase enzyme to remove inorganic pyrophosphate prior to providing the feedstock to the polymerization environment.
10. A method according to claim 7, further comprising the step of treating the feedstock to remove ATP prior to reacting the feedstock with the ATP sulfurylase.
11. A method according to claim 10, wherein the feedstock further comprises glycerol and wherein the feedstock is treated with glycerokinase to remove ATP.
12. A method according to claim 10, wherein the feedstock further comprises glucose and wherein the feedstock is treated with hexokinase to remove ATP.

13. A method according to claim 11, wherein the feedstock further comprises glucose and wherein the feedstock is treated with hexokinase to remove ATP.
- 5 14. An apparatus for determining the nucleic acid sequence in a template nucleic acid polymer, comprising
  - (a) a polymerization column containing a support material capable of retaining a template polymer-primer complex and a nucleic acid polymerase under conditions such that upon addition of an appropriate nucleotide a complementary nucleic acid polymer will be formed and inorganic pyrophosphate will be released;
  - (b) a sulfurylase column containing immobilized enzymatically active ATP-sulfurylase;
  - (c) a luciferase column containing immobilized enzymatically active ATP-dependent luciferase;
  - (d) means for detecting light within the luciferase column; and
  - (e) means connecting said columns, whereby a feedstock introduced into the apparatus flows successively through the polymerization column, the sulfurylase column, and the luciferase column.
- 20 15. An apparatus according to claim 14, further comprising a pyrophosphatase column containing an immobilized enzyme capable of decomposing inorganic pyrophosphate, said pyrophosphatase column being connected such that feedstock flows from the pyrophosphatase column to the polymerization column.
- 25
- 30

16. An apparatus according to claim 15, further comprising at least one kinase column containing an immobilized enzyme capable of consuming ATP in the presence of an appropriate substrate, said kinase column being connected such that feedstock flows through the kinase column prior to flowing through the sulfurylase column.  
5
17. An apparatus according to claim 16, wherein the enzyme in the kinase column is selected from among hexokinase and glycerokinase.  
10
18. A reagent for use in pyrophosphate-based nucleic acid sequencing comprising:
  - (a) one nucleotide base selected from the group consisting of ATP, GTP, CTP, UTP, dATP, dGTP, dCTP and dTTP;  
15
  - (b) adenosine-5'-phosphosulfate;
  - (c) luciferin; and
  - (d) one or more substrates for one or more kinase enzymes.
- 20 19. A reagent according to claim 18, wherein the substrates include glucose.
20. A reagent according to claim 18, wherein the substrates include glycerol.
21. A reagent according to claim 20, wherein the substrates further include glucose.  
25

**FIG. 1**

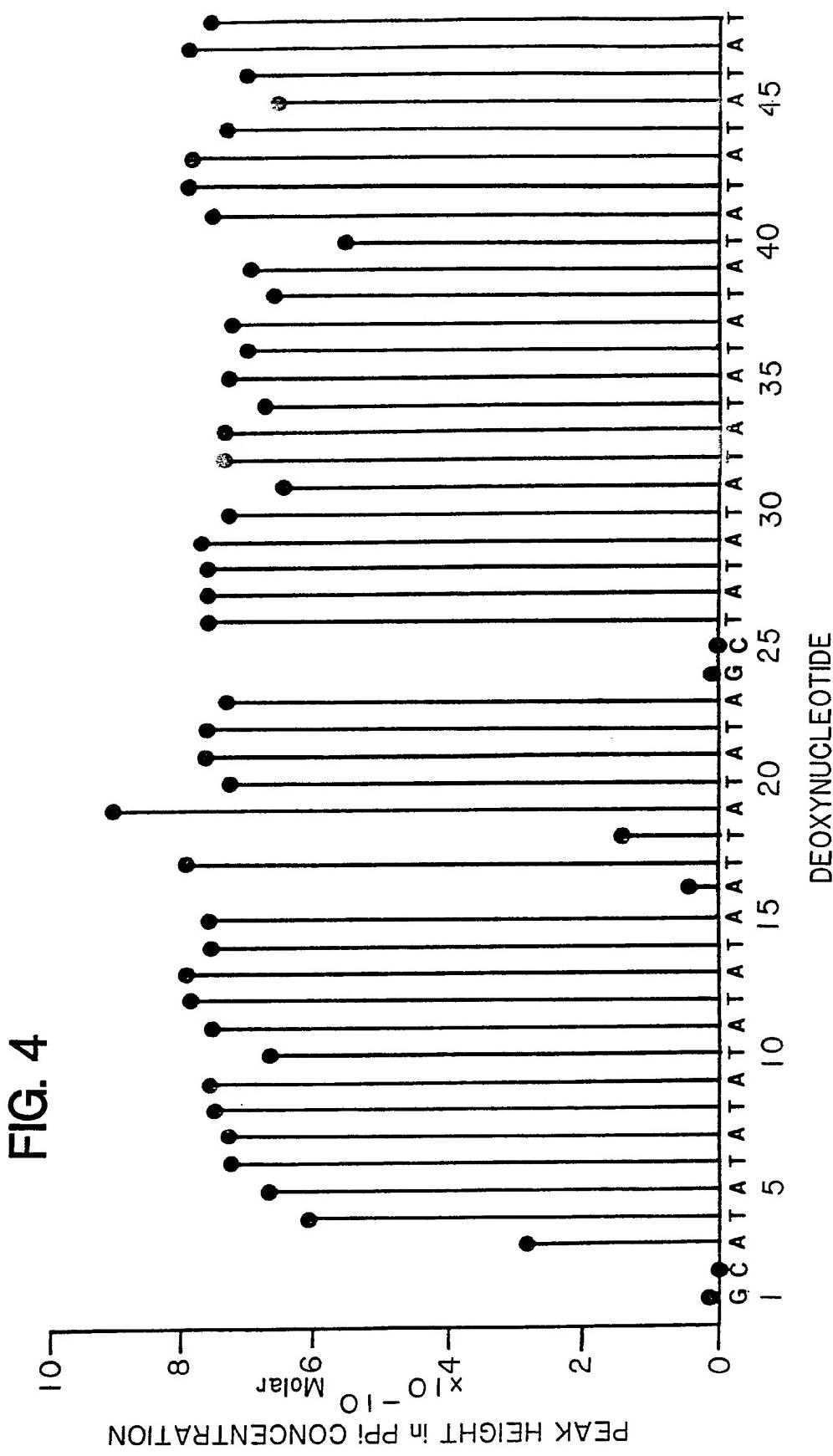
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**FIG. 2****FIG. 3**

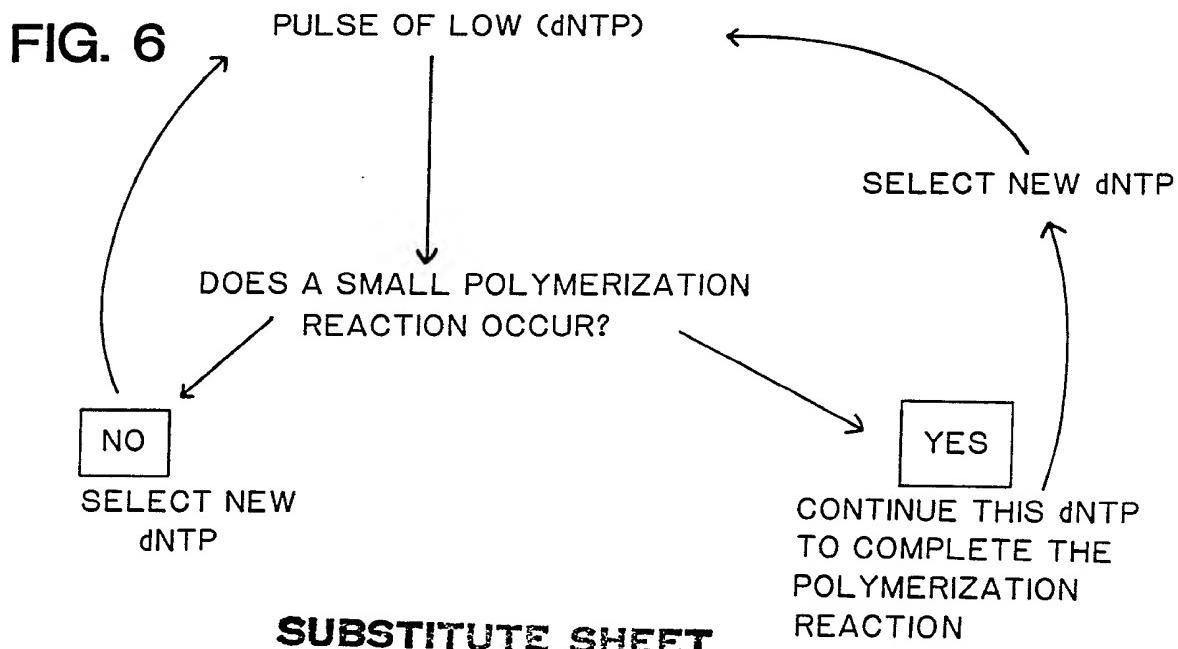
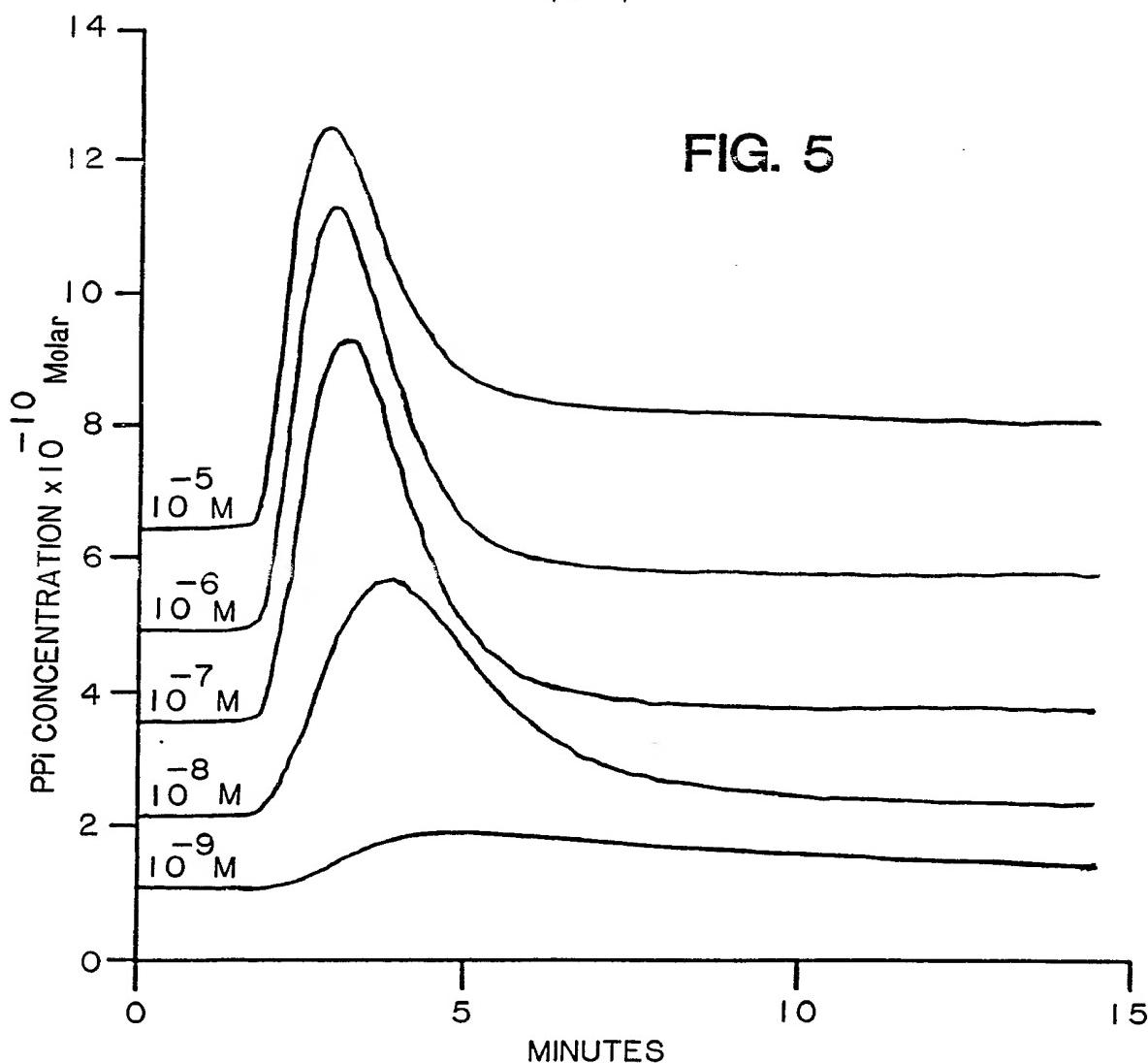
DEOXYNUCLEOTIDE

**SUBSTITUTE SHEET**

3 / 4



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# INTERNATIONAL SEARCH REPORT

International Application No. PCT/US89/00880

## I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) <sup>6</sup>

According to International Patent Classification (IPC) or to both National Classification and IPC  
 IPC(4): C12Q 1/68; G01N 33/52  
 U.S. CL.: 435/91, 6

## II. FIELDS SEARCHED

Minimum Documentation Searched <sup>7</sup>

Classification System	Classification Symbols
U.S.	435/91, 6

Documentation Searched other than Minimum Documentation  
 \*the Extent that such Documents are Included in the Fields Searched <sup>8</sup>

## COMPUTER SEARCH: CAS, APS, DATA BASES

## III. DOCUMENTS CONSIDERED TO BE RELEVANT <sup>9</sup>

Category <sup>10</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
X	ANALYTICAL BIOCHEMISTRY, vol. 40, 1971, pages 1-17; G.J.E. Balharry: "New Assay for ATP-Sulphurylase Using the Luciferin-Luciferase Method" see pages 1-3 and 11.	18
Y		19-21
A	Journal Molecular Biology, vol. 35, pages 523-37, 1968; Ray Wu: "Structure and Base Sequence in the Cohesive Ends of Bacteriophage Lambda DNA" see abstract.	1-13
A	Analytical Biochemistry vol. 151, 1985 pages 504-509; P. NYREN: "Enzymatic Method Pyrophosphate Synthesis" see abstract.	1-17
A	Proc. Natl. Acad. Sci. USA, vol. 74, number 12, 1977, pg. 5463-5467, F. Sanger, "DNA sequencing with chain-terminating inhibitors" see abstract.	1-17

### \* Special categories of cited documents: <sup>10</sup>

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

## IV. CERTIFICATION

Date of the Actual Completion of the International Search

24 May 1989

Date of Mailing of this International Search Report

11 JUL 1989

International Searching Authority

ISA/US

Signature of Authorized Officer

SCOTT A. CHAMBERS